

CHRIST CARE PEDIATRICS NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY

OUR PLEDGE REGARDING YOUR MEDICAL INFORMATION:

Christ Care Pediatrics is committed to protecting medical information about you. We create a record of the medical care and services you receive at Christ Care Pediatrics for use in your care and treatment. We need this record to provide you with quality care and to comply with certain legal requirements.

This notice applies to all the records of your care relating to services provided in the hospitals, outpatient and ambulatory care centers and other facilities including Christ Care Pediatrics, as well as the physicians and other health care professionals who provide services within those facilities.

This notice tells you about the ways in which we may use and disclose medical information about you. It also describes your rights and certain obligations we have regarding the use and disclosure of your information.

We are required by law to:

- Make certain your medical information is protected
- Give you this notice describing our legal duties and privacy practices with respect to you medical information

WHO WILL FOLLOW THIS NOTICE?

This notice describes the practices of Christ Care Pediatrics and those of the following individuals and organizations (collectively, "We"):

- All providers and employees of Christ Care Pediatrics
- Any member of a volunteer group we allow to help you while you are being cared for by Christ Care Pediatrics.
- All Christ Care Pediatrics physicians, physician assistants, advanced practice nurses, medical staff, residents and medical students with regard to services provided and medical records kept at Christ Care Pediatrics.

How we may use and disclose medical information about you:

The following sections describe different ways that we may use and disclose your medical information. For each category of uses or disclosures we will describe them and give some examples. Some information, such as certain genetic information, certain drug and alcohol information, HIV information and mental health information may be entitled to special restrictions by state and federal laws. We abide by all applicable state and federal laws related to the protection of this information. Not every use or disclosure will be listed, but all the ways we are permitted to use and disclose information will fall within one of the following categories.

Treatment: We may use or disclose medical information about you to provide you with medical treatment or services. WE may disclose information about you to doctors, nurses, technicians, students or other personnel involved in taking care of you. For example, an ENT we referred you to may need to know the number of ear infections your child has incurred, your child's medical history and any medications your child may currently be receiving. We may also share medical information about you with other health care providers, agencies, or facilities not affiliated with Christ Care Pediatrics in order to provide or coordinate the different requirements necessary to provide the best care for your child such as prescriptions, lab work and x-rays. We may also disclose medical information about you to people outside of Christ Care Pediatrics who may be involved in your continuing medical care after your visits to Christ Care Pediatrics such as other health care providers, transport companies, community agencies and family members or others providing services that are part of your care. We may disclose information about your care to any physician, physician assistant, advanced practice RN identified as a provider of medical care to your child and other facilities/providers to whom you have been referred such as a Children's Hospital or other specialties. We may participate in an electronic health information exchange which facilitates the sharing of your medical information for treatment purposes. In this way we may share your information with other health care providers that request information about your child. We also may thus obtain the information that they maintain about you so we have a more complete understanding of your health care.

Payment: We may use and disclose medical information about you so for payment activities of Christ Care Pediatrics and others involved in your care such as an ambulance company. For example, we may use and disclose information so that

Christ Care Pediatrics or others involved in your care can obtain payment from you, an insurance company or another third party. We may disclose your information to the Social Security Administration, or any other person or insurance or benefit payor, health care service plan or worker's compensation carrier which is, or may be, responsible for all or part of your bill. We may tell your insurance company about a proposed treatment to determine whether or not they will pay for the treatment or to resolve an appeal or complaint/grievance. However, if you pay in cash in advance for your treatment, and you ask us NOT to disclose your health information to your insurance company with regard to that treatment, we will honor your request.

HEALTH CARE OPERATIONS: We may use and disclose medical information about you for our health care operations and for certain health care operations of other providers who furnish care to you. These uses and disclosures are necessary to operate Christ Care Pediatrics and to make certain that all of our patients receive quality services. For example, we may use medical information to review out treatment and services to evaluate the performance of our staff and to survey you on your satisfaction with our treatment and/or services. We may review and/or aggregate medical information to decide what additional services or health benefits we should offer and what services are not needed, and whether certain new treatments are effective. We may disclose information to doctors, nurses, technicians, students training Christ Care Pediatrics and other personnel for review and learning purposes. We may combine the medical information we have with medical information from other health care entities to compare how we are doing and see where we can make improvements in the care and services we offer. Christ Care Pediatrics may disclose information to private accreditation organizations, such as Joint Commission, in order to obtain accreditation from these organizations.

HEALTH INFORMATION EXCHANGE (HIE): Christ Care Pediatrics participates in the Kentucky Health Information Exchange (KHIE), a secure internet-based "virtual" health record that permits participants to electronically share health information with other health care providers for treatment, payment and operations purposes. By participating in KHIE, we may share certain portions of your health information with other health care providers that provide services to you that participate in KHIE or health information exchanges.

BUSINESS ASSOCIATES: We may share your medical information with third-parties referred to as "business associates". Business associates provide various services to or for Christ Care Pediatrics. Examples include billing services, transcription services

and legal services. We require our business associates to sign an agreement requiring them to protect your information and to use it only for the purposes for which we have contracted for their services in an effort to make sure your medical information is appropriately safeguarded.

INDIVIDUALS INVOLVED IN YOUR CARE OR PAYMENT FOR YOUR CARE: Unless you tell us not to, we may release medical information to anyone involved in your medical care, such as a friend, family member, or any individual you identify. We also may give your information to someone who helps pay for your care. Additionally, we may disclose information about you to your legal representative. If a person has the authority by law to make healthcare decisions for our, Christ Care Pediatrics typically will treat that legal representative the same way we would treat you with respect to your medical records. Parents and legal guardians are generally patient representatives of minors unless the minors are permitted by law to act on their own behalf and make their own medical decisions in certain circumstances.

RESEARCH: We may use and disclose medical information about you for certain research purposes in compliance with the requirements of applicable federal and state laws. All research projects, however, are subject to a special approval process, which establishes protocols to ensure that your health information will continue to be protected. When required, we will obtain a written authorization from you prior to using your health information for research.

AS REQUIRED OR AUTHORIZED BY LAW: We will disclose medical information about you when required to do so by federal and/or state law. This includes, but is not limited to, disclosures to mandated patient registries, including reporting adverse events with medical devices, food, or prescription drugs to the FDA. We also may disclose medical information to health oversight agencies for activities authorized by law. These oversight activities may include licensure activities and other activities by governmental, licensing, auditing and accrediting agencies as authorized or required by law. We may disclose your health information for public health activities including disclosures to prevent or control disease, injury or disability; report births and deaths; report child abuse or neglect; or notify a person who may have been exposed to a disease or condition. We may disclose information for law enforcement purposes as required by law or in response to a valid subpoena, summons, court order, or similar process.

LEGAL PROCEEDINGS, LAWSUITS AND OTHER LEGAL ACTIONS: We may disclose medical information about you to courts, attorneys, court employees and others when we get a court order, subpoena, discovery request, warrant, summons or other lawful instructions. We also may disclose information about you to Chris Care Pediatrics attorneys and/or attorneys working on Christ Care Pediatrics behalf to defend ourselves against a lawsuit or action brought against us.

We may use and disclose your medical information in the following special situations:

- **Disaster relief efforts:** We may disclose medical information about you to an organization assisting in a disaster-relief effort so that your family can be notified about your condition, status, and location. If you do not want us to disclose your medical information for this purpose, you must tell your caregivers so that we do not disclose this information unless we must do so to respond to the emergency.
- **To avert a serious threat to health or safety:** We may use and disclose medical information about you to help prevent a serious and imminent threat to your health and safety or the health and safety of the public or another person.
- **Organ, eye and tissue donation:** We may release information to organizations that handle organ procurement, eye or tissue transplantation or to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation.
- **Military:** If you are a member of the armed forces, domestic (United States) or foreign we may release medical information about you to the military authorities as authorized or required by law.

Workers' Compensation: We may disclose medical information about you for workers' compensation or similar programs as authorized or required by law.

- **Coroners, Medical Examiners and Funeral Directors:** We may disclose medical information to a coroner, medical examiner or funeral director as necessary for them to carry out their duties.
- **National Security and Intelligence Activities:** We may disclose medical information about you to authorized federal officials for intelligence, counterintelligence and other national security activities as required by the law.
- **Protective Services for the President of the United States and Others:** We may disclose medical information about you to authorized federal officials so they may conduct special investigations or provide protection to the President of the United States, other authorized persons or foreign heads of state as authorized by law.

-

- **Inmates:** If you are an inmate of a correctional institution or under the custody of law enforcement officials, we may release medical information about you to the correctional institution or law enforcement officials as authorized or required by law.

USES OF MEDICAL INFORMATION REQUIRING AUTHORIZATION

Psychotherapy notes: We must obtain your written permission to disclose psychotherapy notes except in certain circumstances. For example, written permission is not required for use of those notes by the author of the notes with respect to your treatment, or use or disclosure by us for training of mental health practitioners, or to defend Christ Care Pediatrics in a legal action brought by you.

Marketing: We must obtain your written permission to use or disclose your medical information for marketing purposes except in certain circumstances. For example, written permission is not required for face-to-face encounters involving marketing, or communication about our own services or products (example: we may send you a postcard announcing the arrival of a new provider or x-ray machine).

Sale of PHI: We must obtain your written permission to disclose your medical information in exchange for remuneration.

Other uses and disclosures: Other uses and disclosures of your PHI not covered by the categories included in this notice or applicable laws, rules or regulations will be made only with your written permission or authorization. If you provide us with such written permission, you may revoke it at any time. We are not able to take back any Uses or Disclosures that we already made with your authorization. We are required to retain your medical information regarding the care and treatment that we provided to you.

YOUR RIGHTS REGARDING MEDICAL INFORMATION ABOUT YOU

You have the following rights regarding your medical information:

Right to inspect and copy: With certain exceptions, you have the right to inspect and/or receive a copy of your medical and billing records or any other of our records that are used by us to make decisions about your care. The exceptions to this are any psychotherapy notes, information collected for certain legal proceedings and any medical information restricted by law.

To inspect and/or receive a copy of your medical we require that you submit your request in writing to Christ Care Pediatrics or the appropriate medical records department. If your request a copy of your medical records, we may charge you a reasonable fee for the costs of copying, mailing or other supplies associated with your request. Under certain circumstances, we may deny your request to inspect or copy your records such as if we believe it may endanger you or someone else. If you are denied access to your medical information, you may request that the denial be reviewed by another licensed health care professional. We will comply with the outcome of the review.

Right to request an Amendment: If you feel that the medical information we have about you or your child is incorrect or incomplete you may ask us to amend the information for as long as the information is kept by or for Christ Care Pediatrics in your medical and billing records. To request an amendment, your request must be submitted in writing and provide the reason for the request. If we agree to your request, we will amend your record(s) and notify you of such. In certain circumstances, we cannot remove what was in the record(s), but we may add supplemental information to clarify. If we deny your request for an amendment, we will provide you with written explanation of why we denied it and explain your rights.

Right to an Accounting of Disclosures: You have a right to receive a list of the disclosures we have made of your medical information in the six years prior to your request. To request an accounting of disclosures you must submit your request in writing to the Privacy Officer. You must state the time period for which you want to receive the accounting, which may not be longer than six years and which may not date back more than six years from the date of your request. You must indicate whether you wish to receive the list electronically or on paper. The first accounting you receive in a 12 month period will be free. We may charge you for responding to additional requests in the same time period. We will inform you of the costs involved before any costs are incurred. You may choose to withdraw or modify your request at that time.

Right to Request Restrictions: You have the right to request a restriction or limitation on the medical information we use or disclose about you for treatment, payment or health care operations. You also have the right to request a limit on the medical information we disclose about you to someone who is involved in your care or the payment of your care, such as a family member or friend. For example, you could ask that we not disclose information to a family member about a surgery your child had. If we agree to your request, we will comply with your request unless the information is needed to provide your child with emergency treatment or we are required by law to disclose it. We are not required to agree to your request except in the case where the disclosure is to a health plan for purposes of carrying out payment or health care operations of the health plan, and the information pertains solely to a

health care item or service for which we have been paid out of pocket in full. For example, when a patient wants cosmetic surgery and pays for it out of pocket, upon request we will not send any claim to the insurance carrier.

To request a restriction you must make your request in writing and tell us :

1. What information you want to limit
2. Whether you want to limit our use, disclosure or both, and
3. To whom you want the limits to apply i.e. disclosures to your spouse.

We are permitted to end the restriction if we notify you. If we end the restriction, it will only affect the health information that was created or received after we notify you.

Right to Request Confidential Communications: You have the right to request that we communicate with you about medical matters in a certain way or at a certain location. For example, you may ask that we contact you only at home or by mail. If you want us to communicate with you in a certain way, you will need to give us specific details about how you want to be contacted including a valid alternative address. We will not ask you the reason for that request, and we will accommodate all reasonable requests. However, if we are unable to contact you using the ways or locations you have request we may contact you using the information we have.

Right to a Paper Copy of this Notice: You have the right to a paper copy of this notice at any time, even if you have previously agreed to receive this notice electronically. Copies of this notice are available from any Christ Care Pediatrics staff member.

CHANGE TO THIS NOTICE

We reserve the right to change this notice and Christ Care Pediatrics privacy practices. We reserve the right to make the revised or changed notice effective for medical information we already have about your child as well as any information we receive in the future. We will post a copy of the current notice and update it as it becomes necessary.

QUESTIONS OR COMPLAINTS

If you have questions or believe that your privacy rights have been violated, you may file a complaint with Christ Care Pediatrics or with the Secretary of the Department of Health and Human Services. To file a complaint with Christ Care Pediatrics contact the Privacy Officer. **You will not be penalized for filing a complaint.**

ADDRESSES:

The address for **Christ Care Pediatrics Privacy Officer** is:

137 State Route 3117

South Shore, KY 41175

The address for the **Department of Health and Human Services** is:

The U.S. Department of Health and Human Services

200 Independence Avenue, S.W.

Washington, D.C. 20201

This notice is effective November 1, 2015 and replaces all earlier versions.